### **Imaging and Deconvolution**

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Atacama Large Millimeter/submillimeter Array Expanded Very Large Array Robert C. Byrd Green Bank Telescope Very Large Baseline Array



### References

- Thompson, A.R., Moran, J.M. & Swensen, G.W. 2004, "Interferometry and Synthesis in Radio Astronomy" 2<sup>nd</sup> edition (Wiley-VCH)
- previous Synthesis Imaging workshop proceedings
  - Perley, R.A., Schwab, F.R., Bridle, A.H., eds. 1989, ASP Conf. Series 6, "Synthesis Imaging in Radio Astronomy" (San Francisco: ASP)
    - Ch. 6: Imaging (Sramek & Schwab), Ch. 8: Deconvolution (Cornwell)
  - http://www.aoc.nrao.edu/events/synthesis
    - Imaging and Deconvolution lectures by Cornwell 2002, Bhatnagar 2004, 2006
- IRAM Interferometry School proceedings
  - http://www.iram.fr/IRAM/FR/IS/IS2008/archive.html
    - Ch. 13: Imaging Principles (Guilloteau), Ch. 16: Imaging in Practice (Guilloteau)
    - Imaging and Deconvolution lectures by Pety 2004, 2006, 2008, 2010
- more interferometry school proceedings and pedagogical presentations are readily available: ALMA Cycle 1 primer, ATNF, CARMA, NAOJ, ...

### **Visibility and Sky Brightness**

- from the van Cittert-Zernike theorem (TMS Ch. 14)
  - the complex visibility V(u,v) is the 2-dimensional Fourier Transform of the sky brightness T(x,y) (incoherent source, small field of view, far field...)
  - u,v are E-W and N-S spatial frequencies units are wavelengths
  - x,y are E-W and N-S angles in the tangent plane units are radians

$$V(u,v) = \int \int T(x,y) e^{2\pi i (ux+vy)} dx dy$$
  
$$T(x,y) = \int \int V(u,v) e^{-2\pi i (ux+vy)} du dv$$

$$V(u,v) \rightleftharpoons T(x,y)$$

 $m_{\geq}$ 

N Pole

T(x,y

u

### **The Fourier Transform**

 Fourier theory states that any well behaved signal (including images) can be expressed as the sum of sinusoids





Jean Baptiste Joseph Fourier 1768-1830

$$x(t) = \frac{4}{\pi} \left( \sin(2\pi ft) + \frac{1}{3}\sin(6\pi ft) + \frac{1}{5}\sin(10\pi ft) + \cdots \right)$$

- the Fourier transform is the mathematical tool that decomposes a signal into its sinusoidal components
- the Fourier transform of a signal contains *all* of the information of the original

### **The Fourier Domain**

- acquire some comfort with the Fourier domain
  - in older texts, functions and their Fourier transforms occupy upper and lower domains, as if "functions circulated at ground level and their transforms in the underworld" (Bracewell 1965)



• a few properties of the Fourier transform  $f(x) \rightleftharpoons F(s)$ 

adding f(x) + g(x) = F(s) + G(s)

scaling  $f(\alpha x) = \alpha^{-1} F(s/\alpha)$ 

shifting  $f(x-x_0) = F(s)e^{i2\pi x_0 s}$ 

convolution/mulitplication  $g(x) = f(x) \otimes h(x);$  G(s) = F(s)H(s)Nyquist-Shannon sampling theorem  $f(x) \subset \Theta$  completely determined if F(s) sampled at intervals  $\leq 1/\Theta$ 

### Visibilities

- each V(u,v) contains information on T(x,y) everywhere, not just at a given (x,y) coordinate or within a given subregion
- V(u,v) is a complex quantity
  - visibility expressed as (real, imaginary) or (amplitude, phase)



### **Example 2D Fourier Transform Pairs**



narrow features transform into wide features (and vice-versa)

### **Example 2D Fourier Transform Pairs**



sharp edges result in many high spatial frequencies

### **Amplitude and Phase**

- amplitude tells "how much" of a certain spatial frequency
- phase tells "where" this component is located



### **The Visibility Concept**

 $V(u,v) = \int \int T(x,y) e^{2\pi i (ux+vy)} dx dy$ 

- visibility as a function of baseline coordinates (u,v) is the Fourier transform of the sky brightness distribution as a function of the sky coordinates (x,y)
- V(u=0,v=0) is the integral of T(x,y)dxdy = total flux
- since T(x,y) is real, V(u,v) is Hermitian:  $V(-u,-v) = V^*(u,v)$ 
  - get two visibilities for one measurement

### **Visibility and Sky Brightness**

 $V(u,v) = \int \int T(x,y) e^{2\pi i (ux+vy)} dx dy$ 



### **Visibility and Sky Brightness**

 $V(u,v) = \int \int T(x,y) e^{2\pi i (ux+vy)} dx dy$ 



### **Aperture Synthesis Basics**

- idea: sample V(u,v) at enough baselines to synthesize a large aperture of size (u<sub>max</sub>, v<sub>max</sub>)
  - one pair of telescopes = one baseline
    = one (u,v) sample at a time
  - N telescopes = N(N-I)(u,v) samples at a time
  - use Earth rotation to fill in (u,v) plane with time (Sir Martin Ryle 1974 Physics Nobel Prize)
  - reconfigure physical layout of N antennas for more
  - observe at multiple wavelengths simultaneously, if source spectrum amenable to simple characterization



Sir Martin Ryle 1918-1984

• How many samples are enough?

### Examples of (Millimeter Wavelength) Aperture Synthesis Telescopes







# IRAM PdBI





### An Example of (u,v) plane Sampling

• 2 configurations of 8 SMA antennas, 345 GHz, Dec. -24 dec



# Imaging: (u,v) plane Sampling

 in aperture synthesis, samples of V(u,v) are limited by the number of telescopes and the Earth-sky geometry



- outer boundary
  - no information on small scales
  - resolution limit
- inner hole
  - no information on large scales
  - extended structures invisible
- irregular coverage between inner and outer boundaries
  - sampling theorem violated
  - information missing

### Inner and Outer (u,v) Boundaries



### xkcd.com/26/

Hi, Dr. Elizabeth? Yeah, Vh... I accidentally took the Fourier transform of my cat... Meow!

## **Imaging: Formal Description** $V(u,v) \rightleftharpoons T(x,y)$

• sample Fourier domain at discrete points

 $B(u,v) = \sum_{k} (u_k, v_k)$ 

- the (inverse) Fourier transform is  $T^D(x,y) = FT^{-1}\{B(u,v) \times V(u,v)\}$
- the convolution theorem tells us  $T^D(x,y) = b(x,y) \otimes T(x,y)$
- where  $b(x,y) = FT^{-1}\{B(u,v)\}$  (the point spread function)

#### the Fourier transform of the sampled visibilities yields the true sky brightness convolved with the point spread function

jargon: the "dirty image" is the true image convolved with the "dirty beam"

### **Dirty Beam and Dirty Image**

















#### 8 Antennas x 6 samples



#### 8 Antennas x 30 samples



#### 8 Antennas x 60 samples



#### 8 Antennas x 120 samples



### 8 Antennas x 240 samples



#### 8 Antennas x 480 samples



### **Calibrated Visibilities- What Next?**

- analyze V(u,v) samples directly by model fitting
  - best for "simple" structures, e.g. point sources, disks
- recover an image from the observed incomplete and noisy samples of its Fourier transform to analyze
  - Fourier transform V(u,v) samples to get  $T^{D}(x,y)$
  - but difficult to do science on this dirty image
  - deconvolve b(x,y) from  $T^{D}(x,y)$  to determine (a model of) T(x,y)



### Some Details of the Dirty Image

- "Fourier transform"
  - Fast Fourier Transform (FFT) algorithm much faster than simple Fourier summation, O(NlogN) for  $2^N \times 2^N$  image
  - FFT requires data on a regularly spaced grid
  - aperture synthesis observations do not provide samples of V(u,v)
    on a regularly spaced grid, so...
- "gridding" is used to resample V(u,v) for FFT
  - customary to use a convolution method
    - visibilities are noisy samples of a smooth function
    - nearby visibilities are not independent
  - use special ("Spheroidal") functions with nice properties
    - fall off quickly in (u,v) plane: not too much smoothing
    - fall off quickly in image plane: avoid aliasing

 $V^G(u,v) = V(u,v) B(u,v) \otimes G(u,v) \rightleftharpoons T^D(x,y) g(x,y)$ 

## **Telescope Primary Beam**

- telescope response A(x,y) is not uniform across the entire sky
  - main lobe fwhm ~ I.2λ/D,
    "primary beam"
  - limits field of view
  - region beyond primary beam sometimes important (sidelobes, error beam)
- telescope beam modifies the sky brightness distribution
  - $\ \mathsf{T}(\mathsf{x},\mathsf{y}) \to \mathsf{T}(\mathsf{x},\mathsf{y})\mathsf{A}(\mathsf{x},\mathsf{y})$
  - can correct with division by
    A(x,y) in the image plane
  - large sources require multiple telescope pointings = mosaicking





### **Pixel Size and Image Size**

- pixel size
  - satisfy sampling theorem for longest baselines

$$\Delta x < \frac{1}{2u_{max}} \quad \Delta y < \frac{1}{2v_{max}}$$

- in practice, 3 to 5 pixels across main lobe of dirty beam to aid deconvolution
- e.g., SMA 870  $\mu m$ , 500 m baselines  $\rightarrow$  600 k  $\lambda \rightarrow ~pixels$  < 0.1 arcsec
- image size
  - natural choice: span the full extent of the primary beam A(x,y)
  - e.g., SMA 870  $\mu m$ , 6 m telescope  $\rightarrow$  2x 35 arcsec
  - if there are bright sources in the sidelobes of A(x,y), then the FFT will alias them into the image  $\rightarrow$  make a larger image (or equivalent)

- introduce weighting function W(u,v)  $b(x,y) = FT^{-1}\{W(u,v)B(u,v)\}$ 
  - W(u,v) modifies sidelobes of dirty beam (W(u,v) also gridded for FFT)
- "natural" weighting
  - $W(u,v) = 1/\sigma^2$  in (u,v) cells, where  $\sigma^2$ is the noise variance of the data, and W(u,v) = 0 everywhere else
  - maximizes the point source sensitivity (lowest rms in image)
  - generally gives more weight to short baselines (low spatial frequencies), so angular resolution is degraded





- "uniform" weighting
  - W(u.v) is inversely proportional to local density of (u,v) points, so sum of weights in a (u,v) cell is a constant (zero for the empty cells)
  - fills (u,v) plane more uniformly, so dirty beam sidelobes are lower
  - gives more weight to long baselines (high spatial frequencies), so angular resolution is enhanced
  - downweights data, so degrades point source sensitivity
  - can be trouble with sparse sampling:
    cells with few data points have same
    weight as cells with many data points





- "robust" (Briggs) weighting
  - variant of "uniform" that avoids giving too much weight to (u,v) cells with low natural weight
  - software implementations differ
  - example:

$$W(u,v) = \frac{1}{\sqrt{1 + S_N^2 / S_{thresh}^2}}$$

 $S_N$  is natural weight of cell  $S_{thresh}$  is a threshold high threshold  $\rightarrow$  natural weighting low threshold  $\rightarrow$  uniform weighting

 an adjustable parameter that allows for continuous variation between the maximum point source sensitivity and the highest angular resolution





• "tapering"

- apodize (u,v) sampling by a Guassian

$$W(u,v) = \exp\left\{-\frac{(u^2+v^2)}{t^2}\right\}$$

t = adjustable tapering parameter (usually in  $\lambda$  units)

- like smoothing in the image plane (convolution by a Gaussian)
- gives more weight to short baselines, degrades angular resolution
- degrades point source sensitivity but can improve sensitivity to extended structure sampled by short baselines
- limits to usefulness





### Weighting and Tapering: Noise



### Weighting and Tapering: Summary

- imaging parameters provide a lot of freedom
- appropriate choice depends on science goals

	Robust/Uniform	Natural	Taper
Resolution	higher	medium	lower
Sidelobes	lower	higher	depends
Point Source Sensitivity	lower	maximum	lower
Extended Source Sensitivity	lower	medium	higher

### **Deconvolution: Beyond the Dirty Image**

- calibration and Fourier transform go from the V(u,v) samples to the best possible dirty image, T<sup>D</sup>(x,y)
- in general, science requires to deconvolve b(x,y) from T<sup>D</sup>(x,y) to recover (a model of) T(x,y) for analysis
- information is missing, so be careful (there's noise, too)



#### dirty image

"CLEAN" image



### **Deconvolution Philosophy**

- to keep you awake at night
  - ∃ an infinite number of T(x,y) compatible with sampled V(u,v), i.e. "invisible" distributions R(x,y) where  $b(x,y) \otimes R(x,y) = 0$ 
    - no data beyond  $u_{max}$ ,  $v_{max} \rightarrow unresolved structure$
    - no data within  $u_{min}, v_{min} \rightarrow$  limit on largest size scale
    - holes in between  $\rightarrow$  sidelobes
  - noise  $\rightarrow$  undetected/corrupted structure in T(x,y)
  - no unique prescription for extracting optimum estimate of T(x,y)
- deconvolution
  - uses non-linear techniques effectively to interpolate/extrapolate
    samples of V(u,v) into unsampled regions of the (u,v) plane
  - aims to find a sensible model of T(x,y) compatible with data
  - requires a priori assumptions about T(x,y) to pick plausible "invisible" distributions to fill unmeasured parts of the Fourier plane

### **Deconvolution Algorithms**

- Clean: dominant deconvolution algorithm in radio astronomy
  - a priori assumption: T(x,y) is a collection of point sources
  - fit and subtract the synthesized beam iteratively
  - original version by Högbom (1974) purely image based
  - variants developed for higher computational efficiency, model visibility subtraction, to deal with extended structure, ... (Clark, Cotton-Schwab, Steer-Dewdney-Ito, etc.)
- Maximum Entropy: used in some situations
  - *a priori* assumption: T(x,y) is smooth and positive
  - define "smoothness" via a mathematical expression for entropy, e.g.
    Gull and Skilling 1983, find smoothest image consistent with data
  - vast literature about the deep meaning of entropy as information content
- an active research area, e.g. compressive sensing methods

### **Basic Clean Algorithm**

- I. Initialize
  - a residual map to the dirty map
  - a Clean Component list to empty
- 2. identify highest peak in the *residual* map as a point source
- 3. subtract a fraction of this peak from the *residual* map using a scaled (loop gain g) dirty beam b(x,y)
- 4. add this point source location and amplitude to *Clean Component* list
- 5. goto step 2 (an iteration) unless stopping criterion reached



### **Basic Clean Algorithm (cont)**

- stopping criteria
  - residual map max < multiple of rms (when noise limited)</p>
  - residual map max < fraction of dirty map max (dynamic range limited)</li>
  - max number of Clean Components reached (no justification)
- loop gain
  - good results for  $g \sim 0.1$  to 0.3
  - lower values can work better for smoother emission, g ~ 0.05
- easy to include a priori information about where in image to search for Clean Components (using "boxes" or "windows")
  - very useful but potentially dangerous
- Schwarz (1978): in the absence of noise, Clean algorithm is equivalent to a least squares fit of sinusoids to visibilities

### **Basic Clean Algorithm (cont)**

- last step: make the "restored" image
  - take residual map, which consists of noise and weak source structure below the Clean cutoff limit
  - add point source *Clean components* convolved with an elliptical Gaussian fit to the main lobe of the dirty beam ("Clean beam") to avoid super-resolution of point source component model
  - resulting image is an estimate of the true sky brightness
  - units are (mostly) Jy per Clean beam area
    = intensity, or brightness temperature
  - there is information from baselines that sample beyond the Clean beam FWHM, so modest super-resolution may be OK
  - the restored image does not actually fit the observed visibilities

### **Clean Example**



### Clean with a "box"



### Clean with poor choice of "box"



### **Maximum Entropy Algorithm**

 Maximize a measure of smoothness (the entropy)

$$H = -\sum_{k} T_k \log\left(\frac{T_k}{M_k}\right)$$

subject to the constraints

$$\chi^2 = \sum_k \frac{|V(u_k, v_k) - \mathrm{FT}\{T\}|^2}{\sigma_k^2}$$
$$F = \sum_k T_k$$

- M is the "default image"
- fast (NlogN) non-linear
  optimization solver due to
  Cornwell and Evans (1983)
- optional: convolve model with elliptical Gaussian fit to beam and add residual map to make image



### Maximum Entropy Algorithm (cont)

- easy to include *a priori* information with default image
  - flat default best only if nothing known
- straightforward to generalize  $\chi^2$  to combine observations from different telescopes and obtain an optimal image
- many measures of "entropy" available
  - replace log with cosh  $\rightarrow$  "emptiness" (does not enforce positivity)
- works well for smooth, extended emission
- super-resolution regulated by signal-to-noise
- less robust and harder to drive than Clean
- can have trouble with point source sidelobes (could remove those first with Clean)

### **Maximum Entropy Example**



#### Natural Weight Beam Clean image 0.15 5 5 0.8 DEC offset (arcsec; J2000) DEC offset (arcsec; J2000) 0.6 0.1 0 0 0.4 0.05 0.2 0 5--2 0 5 0 -5 $^{-5}$ 5 0 RA offset (arcsec; J2000) RA offset (arcsec; J2000)

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RA offset (arcsec; J2000)

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#### Robust=0Weight Beam Clean image 0.06 5 10 0.8 DEC offset (arcsec; J2000) DEC offset (arcsec; J2000) 0.04 0.6 0 0 0.4 0.02 0.2 0 -5 2-2 0 $^{-5}$ 5 0 5 0 $^{-5}$ RA offset (arcsec; J2000) RA offset (arcsec; J2000)

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### **Tune Resolution/Sensitivity to suit Science**

• e.g. SMA 870 mm images of protoplanetary disks with resolved inner holes (Andrews, Wilner et al. 2009, ApJ, 700, 1502)



### **Noise in Images**

- photometry of extended sources requires caution
  - Clean does not conserve flux (extrapolates)
  - extended structure can be missed, attenuated, distorted
- be very careful with low signal-to-noise images
  - if source position known,  $3\sigma$  is OK for point source detection
  - if position unknown, then  $5\sigma$  required (and flux is biased up)
  - if < 6σ, then cannot measure the source size</li>
    (require ~3σ difference between "long" and "short" baselines)
  - spectral line emission may have unknown position, velocity, width

### **Scale Sensitive Deconvolution Algorithms**

- basic Clean and Maximum Entropy are scale-free and treat each pixel as an independent degree of freedom
  - they have no concept of source size
- adjacent pixels in an image are not independent
  - resolution limit
  - intrinsic source size, e.g. a Gaussian source covering 1000 pixels
    can be characterized by only 5 parameters, not 1000
- scale sensitive algorithms try to employ fewer degrees of freedom to model plausible sky brightness distributions
  - MS-Clean (Multi-Scale Clean)
  - Adaptive Scale Pixel (Asp) Clean

### "Invisible" Large Scale Structure

- missing short spacings (= large scale emission) can be problematic
  - to estimate? simulate observations, or check simple expressions for a Gaussian and a disk (appendix of Wilner & Welch 1994, ApJ, 427, 898)
- do the visibilities in our example discriminate between these two models of the sky brightness distribution T(x,y)?



### **Missing Short Spacings: Demonstration**



RA offset (arcsec; J2000)

RA offset (arcsec; J2000)

### Techniques to Obtain Short Spacings (1)

- a large single dish telescope
  - examples: JVLA & GBT, IRAM PdbI & 30 m telescope, SMA & JCMT
  - scan single dish across the sky to make an image
  - all Fourier components from 0 to D sampled, where D is the telescope diameter (weighting depends on illumination)



- Fourier transform single dish map = T(x,y) & A(x,y),
  then divide by a(x,y) = FT{A(x,y)} to estimate V(u,v)
- choose D large enough to overlap interferometer samples of V(u,v) and avoid using data where a(x,y) becomes small

### **Techniques to Obtain Short Spacings (II)**

- a separate array of smaller telescopes
  - example: ALMA main array & ACA
  - use smaller telescopes to observe short baselines not accessible to larger telescopes
  - use the larger telescopes as single dishes to make images with Fourier components not accessible to smaller telescopes



ALMA with ACA

50 x 12 m: 12 m to 14+ km

+12 x 7 m: fills 7 m to 12 m + 4 x 12 m: fills 0 m to 7 m

### **Techniques to Obtain Short Spacings (III)**

- mosaic with a homogeneous array
  - recover a range of spatial frequencies around the nominal baseline b using knowledge of A(x,y) (Ekers and Rots 1979), and get shortest baselines from single dish maps



- V(u,v) is linear combination of baselines from b-D to b+D
- depends on pointing direction  $(x_0, y_0)$  as well as (u, v)

 $V(u,v;x_o,y_o) = \int \int T(x,y) A(x-x_o,y-y_o) e^{2\pi i (ux+vy)} dx dy$ 

- Fourier transform with respect to pointing direction  $(x_o, y_o)$ 

$$V(u - u_o, v - v_o) = \frac{\int \int V(u, v; x_o, y_o) e^{2\pi i (u_o x_o + v_o y_o)} dx_o dy_o}{a(u_o, v_o)}$$

### **Measures of Image Quality**

- "dynamic range"
  - ratio of peak brightness to rms noise in a region void of emission (common in radio astronomy)
  - an easy to calculate lower limit to the error in brightness in a non-empty region



- "fidelity"
  - difference between any produced image and the correct image
  - convenient measure of how accurately it is possible to make an image that reproduces the brightness distribution on the sky
  - need a priori knowledge of the correct image to calculate
  - fidelity image = input model / difference
    - = model  $\otimes$  beam / abs( model  $\otimes$  beam reconstruction )
    - = inverse of the relative error
  - in practice, lowest values of difference need to be truncated

### **Self Calibration**

- *a priori* calibration is not perfect
  - interpolated from different time, different sky direction from source
- basic idea of self calibration is to correct for antenna based phase and amplitude errors *together with imaging*
- works because
  - at each time, measure N complex gains and N(N-1)/2 visibilities
  - source structure can be represented by small number of parameters
  - highly overconstrained problem if N large and source simple
- in practice: an iterative, non-linear relaxation process
  - assume initial model  $\rightarrow$  solve for time dependent gains  $\rightarrow$  form new sky model from corrected data using e.g. Clean  $\rightarrow$  solve for new gains...
  - requires sufficient signal-to-noise at each solution interval
- loses absolute phase and therefore position information
- dangerous with small N, complex source, low signal-to-noise

### **Concluding Remarks**

- interferometry samples visibilities that are related to a sky brightness image by the Fourier transform
- deconvolution attempts to correct for incomplete sampling
- remember... there are usually an infinite number of images compatible with the sampled visibilities
- missing (or corrupted) visibilities affect the entire image
- astronomers must use judgement in the process of imaging and devonvolution
- it's fun and worth the trouble  $\rightarrow$  high angular resolution!
- many, many issues not covered: see the References and upcoming talks at this workshop

# End